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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of  
Wendong ZHEN

Atty. Ref.: 925-192

Serial No. 09/842,631

Group: 2815

Filed: April 27, 2001

Examiner: Diaz, J. R.

For: SEMICONDUCTOR DEVICE HAVING FERROELECTRIC THIN FILM  
AND FABRICATING METHOD THEREFOR

\* \* \* \* \*

April 30, 2003

Assistant Commissioner for Patents  
Washington, DC 20231

Sir:

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**INFORMATION DISCLOSURE STATEMENT**

Listed on accompanying Form PTO-1449 are documents that may be considered material to the examination of this application, in compliance with the duty of disclosure requirements of 37 C.F.R. §§ 1.56, 1.97 and 1.98.

This statement should not be construed as a representation that a search has been made, or that information more material to the examination of the present patent application does not exist. The Examiner is specifically requested not to rely solely on the material submitted herewith. It is further understood that the Examiner will consider information that had been cited by or submitted to the U.S. Patent and Trademark Office in a prior application relied on under 35 U.S.C. § 120. 1138 OG 37, 38 (May 19, 1992).

This Information Disclosure Statement is being filed more than three months after the U.S. filing date AND after the mailing date of the first Office Action on the merits, but before the mailing date of a Final Rejection or Notice of Allowance. I hereby state that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not

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more than three months prior to the filing of this Information Disclosure Statement. 37  
C.F.R. § 1.97(e)(1).

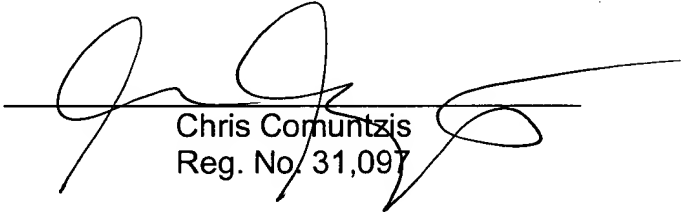
It is respectfully requested that the Examiner initial and return a copy of the enclosed PTO-1449, and to indicate in the official file wrapper of this patent application that the documents have been considered.

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 14-1140 referencing docket number 925-192.

Respectfully submitted,

**NIXON & VANDERHYE P.C.**

By: \_\_\_\_\_

  
Chris Cornuntzis  
Reg. No. 31,097

CC:lmr  
1100 North Glebe Road, 8th Floor  
Arlington, VA 22201-4714  
Telephone: (703) 816-4000  
Facsimile: (703) 816-4100